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## On Bekenstein And Hawking's Black Hole Thermodynamics

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### Abstract

More than fifty years ago, Hawking put forward a theorem that the area of a black hole or of some black holes never decreases. Bekenstein immediately followed him with a new idea that the area of the event horizon is "the black hole's entropy". Soon after that, Hawking "verified" that the event horizon of a black hole emits "thermal radiation" and the gravitational acceleration there, represents the "black hole's temperature". Again, with a thermodynamic derivation, Bekenstein "confirmed" that the gravitational acceleration, is really the "black hole's temperature". Based on these three new concepts, i.e., "area entropy", "thermal radiation" and "gravitational temperature", a new branch of astrophysics, namely, the "black hole thermodynamics" was born. The authors of this article assert that, Bekenstein and Hawking's these three "new concepts" are all false, the event horizon of a black hole has no any such thermal characteristics, and the "black hole thermodynamics" is just a fairy tale in the history of physics.

**Keywords:** "Area Entropy", Quantum Fluctuation at Event Horizon, "Thermal Radiation" of Black Hole, Unidirectional Radiation and Isotropic One, Gravitational Temperature, Evaporation and Life Time of a Black Hole

### Is the Area of the Event Horizon Really the Entropy of a Black Hole?

In 1971, Hawking put forward a theorem that the area of event horizon of a black hole (or the total area of some black holes) never decreases. Bekenstein immediately followed him saying that this non-decrease in the area of event horizon was reminiscent to the non-decrease in entropy of a solitary system in thermodynamics. Based on such a "similarity", Bekenstein claimed that the area of event horizon of a black hole should be the "black hole's entropy" [1-4].

The authors assert that the "similarity" Bekenstein spoke of is not true. There is no any similarity between the non-decrease in the entropy of a solitary thermodynamic system and the non-decrease in the area of the event horizon of a black hole (or the area sum of some black holes). And, there is also no any substantial physical connection between these two kinds of the phenomena of "something never decreases".

As is well known, the increase in entropy of a solitary system is due to the irreversible processes that happen within the system, and it has nothing to do with the exterior environment.

Contrary, the increase in a black hole's area is due to the import mass of matter or radiation from the exterior. How much mass enters into the black hole from the exterior, how much the area of the event horizon increases. If there is no mass input, no matter whatever happens in or out the black hole, its area of event horizon is impossible to increase.

Obviously, the physical mechanism and the geometric pattern of the two kinds of the processes of "something monotonically increase" are totally different. Bekenstein blindly spoke of the "similarity" between them.

Let's make some more detailed compare between the physical mechanisms of the two kinds of something increase monotonically processes.

The increase in entropy of a solitary system is due to, as have mentioned above, some irreversible processes within the system. There are a variety of such irreversible processes, and all of them belong to the category of thermodynamics, showing strong thermodynamic style and characteristics. For examples: Work converts into heat through friction or collision, heat transmits spontaneously from high temperature to low temperature, non-uniform distribution of pressure or of density of gas changes automatically toward uniform (e.g., the air leakage of a car tire) and so on. All these processes are in the direction from concentration to dispersion of energy and mass, from order to disorder. They are all consumption processes, as so called usually.

Quite differently, the increase in a black hole's area is only determined by the import amount of the mass of matter or radiation from the exterior. The quantitative relation between the import mass and the increase of the area of the event horizon can be determined directly and easily by the formula of the area of event horizon. Let us take a Schwarzschild black hole as an example.

The radius of the event horizon of a Schwarzschild black hole is

$$R = \frac{2GM}{c^2} \quad (1)$$

The area of the event horizon of the black hole is

$$A = 4\pi R^2 = \frac{16\pi G^2 M^2}{c^4} \quad (2)$$

And the increase of the area of the event horizon due to the import mass  $\Delta M$  is

$$\Delta A = \frac{32\pi G^2 M}{c^4} \Delta M \quad (3)$$

These simple relations belong purely to the fundamental relativistic static mechanics, and absolutely have nothing to do with thermodynamics.

"Non-decrease in the area of a black hole" is actually non-decrease in the radius of the black hole, i.e., "non-decrease in the mass of a black hole". Only the last description, "non-decrease in mass", is significant: Black holes can only take in matter or radiation, never release them. Non-decrease in the radius of black hole, non-decrease in the area of event horizon, are all right, but none of them has any special physical meaning.

Actually, the event horizon of a black hole is a "one-way membrane" for matter and radiation. Matter and radiation can pass it from the exterior into the interior, but they cannot pass it from the interior to the exterior. Hence, contrary to the dissipating processes of all thermodynamic events, the input of matter and radiation into a black hole is a special concentration process of mass and energy in the universe.

The increase of the entropy of a solitary system and the increase of the area of a black hole are totally different in physical mechanisms, their fundamental tendencies are also just opposite each other. Beikenstein's claim that the area of the black hole is just the entropy of the black hole is a superficial understanding and explanation, and it is totally wrong.

As is well known, in the fundamental theory of thermodynamics, there are many various forms of entropies, for examples, heat-temperature quotient entropy, volume expanding entropy, phase change entropy, chemical entropy, and so on. And all the entropies of different objects, or in different forms, can quantitatively equivalent to each other. In other words, entropy is also an independent equivalent quantity in physics (energy is the first equivalent quantity in physics). The equivalent relations between the entropies of different objects and of different forms are based on the reversible processes that can link up these different entropies: A certain quantity of entropy of one object or in one form can convert through a reversible process into an equivalent quantity of entropy of another object or in another form. As the process is reversible, the final entropy, of course, can convert back totally to the initial entropy, leaving no any other effect.

Actually, this above criterion may be regarded as a theorem as follows.

#### **The Theorem of Entropy Conservation: Entropy is Conserved in All Reversible Process.**

The meaning of "reversible process" here is also well known: it must be a quasi-static process, without any friction or collision, without any heat transmission due to difference in temperature, and without any gas transfer due to difference in pressure or in density, and so on. And the final state of the system and the environment can convert back step by

step to the initial state. Or, more generally, after the original quasi-static process, the system may convert back by any other longer or more complicated quasi-static process, with both the system and the environment eventually restore to their original states.

Hence, if someone who intends to introduce a new form of entropy into thermodynamics, he or she should find out a reversible process that can link up this new entropy with any of the traditional entropies, for examples, the heat-temperature-quotient entropy, volume-expanding entropy, etc., and thus determine the quantitative equivalent relation between the new entropy and the traditional ones.

Can we find any reversible process that can link up the area of the event horizon of a black hole with any traditional entropy?

The falling process of matter or radiation into a black hole is terribly violent, and we cannot control it at all. Such a process itself is extremely not quasi-static, and extremely irreversible. Hence, we of course cannot use it to establish a way of mutual conversion between the "area entropy" and any traditional entropy. The process of matter or radiation falling into a black hole is extremely quick and fierce, it seems apparently that we cannot find any reversible process that can link up the area of the event horizon of a black hole with any traditional entropy.

Hence, we come to the conclusion that a black hole's area should not be introduced as a new member into the entropy family.

### Hawking: The "Radiation", "Temperature" And "Lifetime" of A Black Hole. According to Hawking and His Followers, Black Holes Have Thermal Radiation.

That is due to the positive photon and negative photon produced in the quantum fluctuations of the vacuum at the event horizon of the black holes. Hawking claimed further that the event horizon has a "temperature", that is proportional to the gravitational acceleration  $\kappa$

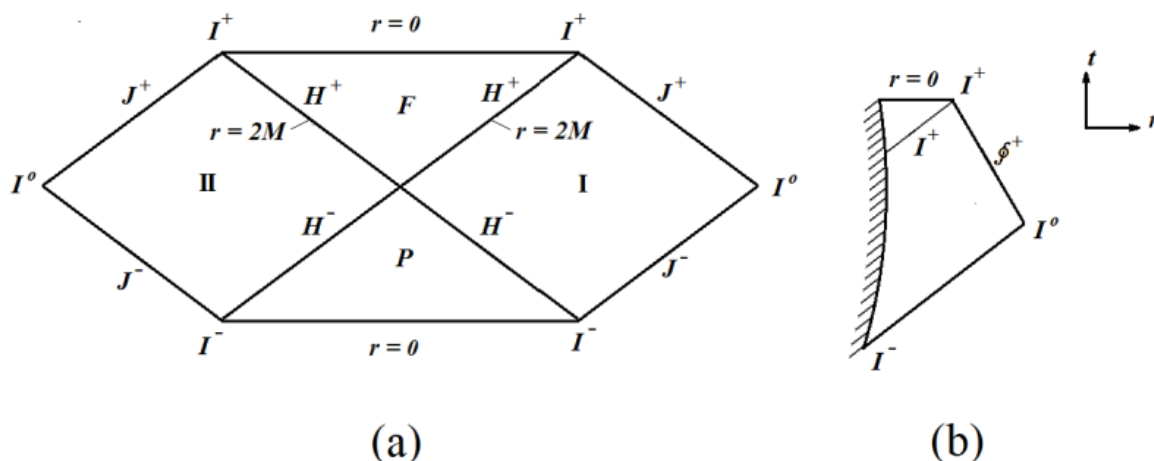


Figure 1: Derivation of Hawking's Thermal Radiation

there, differing only a constant coefficient,  $T_{bh} = \kappa / 2\pi k$ .

Hawking et al accomplished in 1970s the corresponding derivations of the "thermal radiation" for collapsing black holes and stable black holes.

Hawking started his derivation from the Penrose diagram of a collapsing black hole, as shown in Figure.1(a). The shaded part in Fig.1(b) represents the collapsing black hole.

The vacuum at the event horizon of a black hole fluctuates ceaselessly. Pairs of virtual positive photons and negative photons are frequently produced. Most of them annihilate together very quickly. A few pairs of them survive and become positive photons and negative photons because of the selection of the powerful gravitational field. They have the traveling wave functions as follows,

$$\Psi_{\omega}^{out} \sim r^{-1} e^{-i\omega(t-\Xi r')} Y_{lm} \quad \text{outward traveling wave} \quad (4)$$

$$\Psi_{\omega}^{in} \sim r^{-1} e^{-i\omega(t+\Xi r')} Y_{lm} \quad \text{inward traveling wave} \quad (5)$$

Hawking claimed that expression (4) is the wave function of a positive photon moving outward, and expression (5) is the wave function of a negative photon moving inward. And he claimed further that, the positive photon leaves the black hole, forming the so called "Hawking radiation". The negative photon, having negative mass, enters the event horizon, and approaches to the central part of the black hole to combine with the main mass of the black hole, and its negative mass reducing the black hole's main mass slightly. In a word, the vacuum fluctuation results in a photon radiation to the cosmic space in the cost of a slight reduction of the main mass of the black hole [5].

Hawking also derived (very briefly, without necessary detailed processes) an intensity of the number of outward ejecting photons from the event horizon as a function of the frequency of the photons with an expression as follows,

$$N_{\omega}^2 = \frac{1}{e^{\frac{2\pi(\omega-\omega_0)}{\kappa}} - 1} \quad (6)$$

Hawking claimed that equation (6) is the expression of "the spectrum of the black hole radiation" and it is consistent with the spectrum of the thermal radiation of a black body at a temperature  $T$ , as expressed by Planck's formula, differing only in the exponent part in the denominator: The temperature  $T$  in Planck's formula is here replaced by the gravitational acceleration at the event horizon,  $\kappa$ .

Hence, Hawking claimed that Hawking radiation is "thermal radiation", i.e., the black body radiation; and the gravitational acceleration at the event horizon,  $\kappa$ , represents the temperature of the black hole. The two expressions of the "temperature" differ only a constant coefficient

$$T_{bh} = \frac{\kappa}{2\pi k}. \quad (7)$$

#### **The Authors Assert That, Hawking's these Derivations and Astonishing Conclusions Are Both Incorrect. The Reasons Are as Follows.**

Hawking's "black hole radiation", as described by his expressions (4) and (5), are both unidirectional travelling waves along the direction of the radius of the black hole, one ejects outward and the other ejects inward, both perpendicular to the corresponding area element of the event horizon  $dA$ , where the quantum fluctuation happens.

However, the authors argue here: every physicist knows that, black body radiation from any element area  $dA$  of a black body surface at a certain temperature  $T$  must be isotropic. That is totally different from Hawking's unidirectional radiation along the radius of the black hole from the area element  $dA$  of the event horizon, as expressed by his travelling wave equations (4) and (5). All the radiations ejected from a same area  $dA$  of the event horizon are parallel rays, they are not isotropic!

The equilibrium thermal radiation in a cavity at certain temperature  $T$  is a typical black body radiation, sometimes people call it equilibrium thermal radiation in the cavity. It is random and isotropic, having a spectrum of Planck's formula. At any point within the cavity, for any direction within the  $4\pi$  solid angle, the radiation is identical in intensity as well as in spectrum. If the cavity has a small hole on its wall, the radiation that ejected out from the hole from the cavity is a typical black body radiation, it is certainly isotropic. The small hole is equivalent to a small part of an ideal black body surface, as it accepts all the radiation that falls on it.

The equilibrium thermal radiation in a cavity may be dealt with as a photon gas. The phase space of the photon gas is of 6 dimensions:  $x, y, z, p_x, p_y, p_z$ . According to Bose-Einstein statistics, in a cavity of a volume  $V$  and at temperature  $T$ , for the frequency interval from  $\omega$  to  $\omega+d\omega$  (i.e., for the momentum interval from  $p$  to  $p+dp$ , as  $p = \frac{\varepsilon}{c} = \frac{\hbar\omega}{c}$ ), the general energy of the single color thermal radiation in the cavity is

$$U(\omega, T)d\omega = Vu(\omega, T)d\omega = V \times \frac{2 \times 4\pi p^2 dp}{h^3} \frac{1}{e^{\frac{\hbar\omega}{kT}} - 1} \hbar\omega = \frac{V}{\pi^2 c^3} \frac{\hbar\omega^3}{e^{\frac{\hbar\omega}{kT}} - 1} d\omega. \quad (8)$$

Where  $V \times 2 \times 4\pi p^2 dp$  is the volume in the phase space of the photon gas in the cavity from frequency  $\omega$  to  $\omega+d\omega$ . According to Heisenberg's uncertainty principle, for the photon gas, the volume in the phase space of each quantum state is  $h^3$ . Hence, the number of quantum states of the photons in the cavity for the frequency interval from  $\omega$  to  $\omega+d\omega$  is  $\frac{V \times 2 \times 4\pi p^2 dp}{h^3} = \frac{V}{\pi^2 c^3} \omega^2 d\omega$ . Factor 2 is due to the spin of the photons, which may be positive or negative in the direction

of the momentum. Expression  $\frac{1}{(e^{\frac{\hbar\omega}{kT}} - 1)}$  is the Bose distribution factor, i.e., the average number of photons in each quantum state of energy level  $\varepsilon$ . Take the product of the number of quantum states from  $\omega$  to  $\omega+d\omega$ , the Bose distribution factor, and the energy of each photon  $\hbar\omega$ , one derived equation (8).

In (8),  $u(\omega, T)d\omega$  is the single color energy density, i.e., the energy of the thermal radiation per unit volume in the cavity and in the frequency interval from  $\omega$  to  $\omega+d\omega$ .

$$u(\omega, T)d\omega = \frac{2 \times 4\pi p^2 dp}{h^3} \frac{1}{(e^{\frac{\hbar\omega}{kT}} - 1)} \hbar\omega d\omega = \frac{1}{\pi^2 c^3} \frac{\hbar\omega^3}{(e^{\frac{\hbar\omega}{kT}} - 1)} d\omega \quad (9)$$

The small hole is equivalent to a small surface area of a black body surface at the temperature  $T$ , as it takes in any exterior radiation falling on it, a perfect accepting area. Thus, the corresponding single color emissivity of the surface of a black body at temperature  $T$ , i.e., the energy in the frequency interval from  $\omega$  to  $\omega+d\omega$  emitted per unit time from per unit area of the surface of a black body at temperature  $T$ , is

$$J(\omega, T)d\omega = \frac{1}{4}cu(\omega, T)d\omega = \frac{1}{4\pi^2 c^2} \frac{\hbar\omega^3}{(e^{\frac{\hbar\omega}{kT}} - 1)} d\omega \quad (10)$$

**Equation (9) or Equation (10) is the Famous Planck's Formula for Black Body Radiation.**

Similar to (7), we may also derive

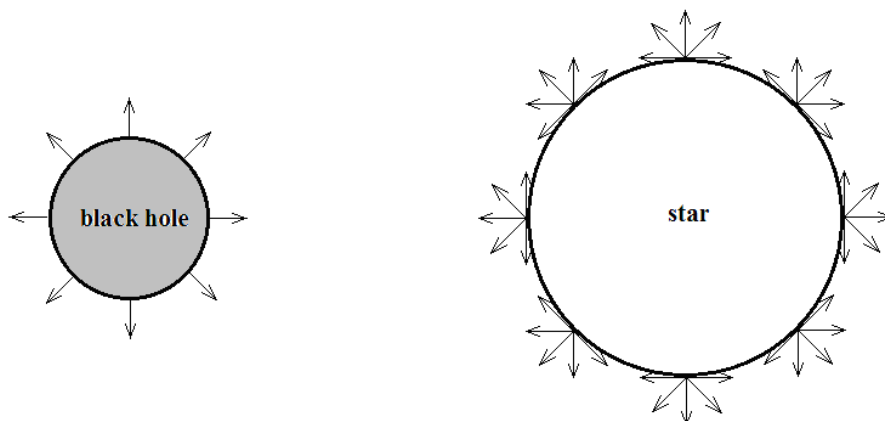
$$J = \frac{1}{4}cu, \quad (11)$$

where  $J$  is the full color emissivity of the surface of a black body at temperature  $T$ , i.e., the energy emitted in unit time from unit area of the surface of a black body at a temperature  $T$ , and  $u$  is the full color energy density of the equilibrium thermal radiation in the cavity. Relation (11) is also well familiar to most physicists.

In equation (8), expression  $4\pi p^2 dp$  represents a spherical layer in the photon gas momentum space, which demonstrates clearly that the black body radiation is isotropic. There is no any place in the description for Hawking's unidirectional radiation to join into it.

According to Hawking himself, "Hawking radiation" is produced in vacuum fluctuation. It ejects vertically from the area element  $dA$  on the event horizon, in the direction along the radius of the black hole. Equation (4) and (5) are both expressions of travelling waves along the radius of the black hole. So, Hawking radiation is obviously unidirectional, not an isotropic radiation. How can we accept that it is a thermal radiation?

The surface of the sun or any other star is super incandescent, all plasma at very high temperatures. They are black bodies, as they take in any radiation falling on them. Their radiations are typical black body's radiations. Of course, they are isotropic, with a spectrum of equation (10).



(a) the Hawking radiation of a black hole

(b) the radiation of the Sun or a star

**Figure 2: The Two Kinds of Radiations of a Black Hole and of a Star are Completely Different**

- The radiation emitted by any point of the event horizon of a black hole is just along the radius of the black hole. It is unidirectional, not isotropic. (b) The radiation ejected by any point on the surface of the sun or a star is isotropic.

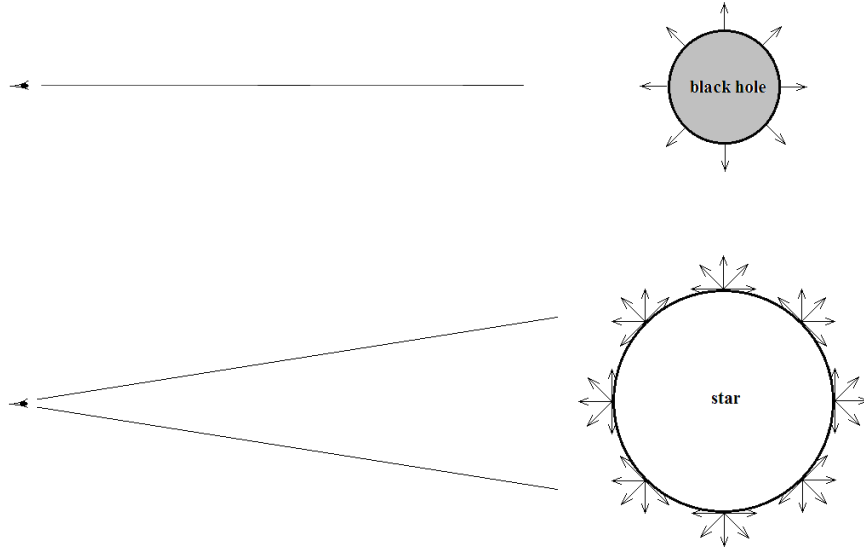


**Figure 3: Two Far-Left Observers**

**Above:** The radiation ejected by a black hole is unidirectional, just along the radii of the black hole. The far-left observer can see only a point (or an extremely small spot) of the black hole.

**Below:** The radiation ejected by a star is isotropic. The far-left observer can see the whole left semi-sphere of the star.

The Hawking radiation emitted from the exterior of an area element  $dA$  of the event horizon is perpendicular to the area element and in the direction of the radius of the black hole. All the radiations from one area element  $dA$  are actually parallel each other, that is not isotropic. So, we claim here, Hawking radiation is unidirectional, not isotropic, as shown in Figure.2 (a).

The radiation emitted from the sun or any star is black body radiation. It is isotropic, as shown in Figure.2 (b).

Hence, we see, black body radiation and black hole radiation are totally different from each other.

As Hawking's radiation is absolutely not a thermal radiation, hence, the gravitational acceleration near the event horizon is certainly not the temperature of the black hole!

However, as Hawking was so confident that the "temperature" of a black hole is  $T_{bh} = \kappa / 2\pi k$ , he used it to derive the Stefan-Boltzmann's law for the event horizon of a black hole. His action is too brave.

Stefan-Boltzmann law: The emissivity, i.e., the full-color emission of thermal radiation per unit time and from per unit area of the surface of a black body at a certain temperature  $T$  is directly proportional to the fourth power of the temperature,

$$J = J(T) = \frac{d^2 E}{dA dt} = \sigma T^4 \quad (12)$$

This law can be derived from experiments directly, for example, the experiments with a current-carrying filament in a glass bulb. Experiments show that  $\sigma$  is a physical constant.

This law may also be derived by theory, with an integration of Planck's formula (10) over all the  $\omega$ , from 0 to  $\infty$ . The result is

$$J = \int_0^\infty \frac{1}{4\pi^2 c^2} \frac{\hbar \omega^3}{e^{\frac{\hbar \omega}{kT}} - 1} d\omega = \frac{\pi^2 k^4}{60 c^2 \hbar^3} T^4 = \sigma T^4. \quad (13)$$

The theoretical value of  $\sigma$  is consistent excellently with the value derived from all the experiments and observations.

Equations (8) ~ (13) are all closely related to the isotropic property of the thermal radiation of a black body.

"Hawking radiation" of a black hole is unidirectional, not isotropic. Hence, all of Hawking's discussion about Stefan-Boltzmann law of the event horizon of a black hole is nonsense.

However, after his discussion about a black hole's "Stefan Boltzmann law", he took another new brave step. He calculated the "life times" of the different black holes due to their "mass evaporation". He found that, the less the mass of a black hole is, the stronger the gravitational acceleration at its event horizon is, and the higher the "temperature" of the black hole is, and according to Stefan-Boltzmann's law, the faster the mass of the black hole should evaporate. Hawking calculated the "life time" of various black holes, and came to the conclusion that, for the black holes of the magnitude order of various stars, or of the magnitude order of various galaxy cores, their lifetimes are all extremely long, longer than the life time of the Universe. So, it is impossible for us to have the chance to observe their final disappear due to the evaporation by astronomic observation. Hawking then imagined a special kind of very small "primitive black holes", which was probably formed in some initial stage of the big bang, relating to some unknown but extremely violent rush or squeezing processes of mass. These "primitive black holes" are very small, hence the gravitational accelerations and the "temperatures" at their event horizon are extremely high, and their mass evaporations are extremely fast. At last, the mass of such a black hole becomes smaller and smaller, and the evaporation becomes faster and faster, and eventually, the small black hole will disappear extremely quickly like an explosion. Hawking predicted that, probably, today, we may still have the chance to see such explosions of some primitive small black holes by astronomic observations. These ideas and discussions of Hawking are all rooted in peculiar imaginations, far away from normal consideration [6].

### **The Authors Regard Further, Actually, The Vacuum Fluctuations at The Event Horizon Cannot Produce Any "Hawking Radiation".**

According to Hawking et al, the powerful gravitational field has a sifting effect on the virtual positive and negative photons produced in the vacuum fluctuation, resulting in the main mass of the black hole reduces by receiving negative photons ceaselessly, and emits positive photons to the outer space. This is the mechanism of the "Hawking radiation".

### **Hawking's Above Idea is Completely Wrong.**

Let us begin with the vacuum fluctuation that produces a pair of positive and negative photons. According to the Principle of Energy Conservation, their energies, one is positive, the other is negative, and equal in magnitude. According to the Principle of Momentum Conservation, their momenta, the one of the positive photon may be in any direction in the  $4\pi$  solid angle, and the other, of the negative photon, is equal in magnitude, but opposite in direction with the positive one. However, as the mass of a negative photon is negative, its speed should be opposite with the negative momentum, and identical in direction with the speed of the positive photon. The positive momentum and the two velocities of the photon pair are all in the same direction, which is possible in any direction in the  $4\pi$  solid angle; only the momentum of the negative photon is in the opposite direction, as shown in Figure. 4 (quoted from Hawking's A Brief History of Time).

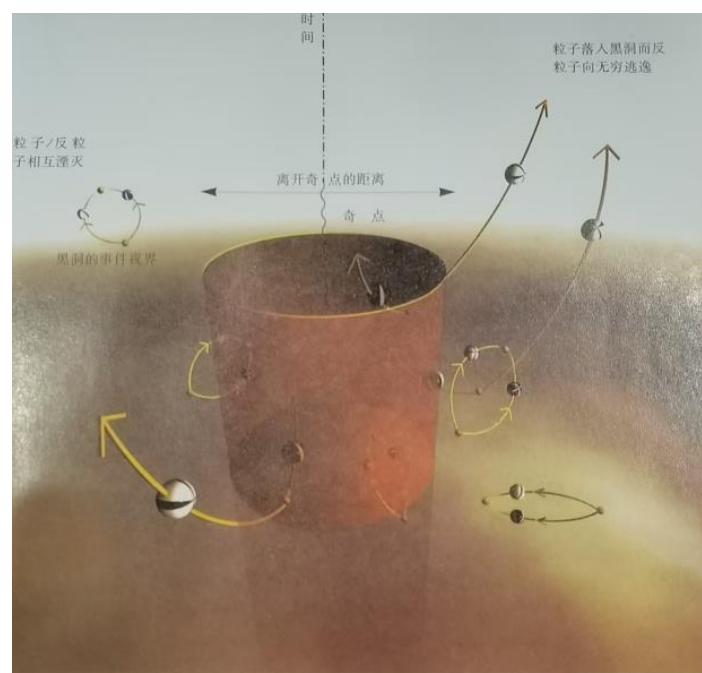


**Figure 4: Hawking's Picture Shows that the Initial Directions of the Velocities of the Photon and anti Photon Produced in a Vacuum Fluctuation are Identical, and May be in any Direction in the  $4\pi$  Solid Angle. He is Correct. (This Picture is Quoted from Hawking's A Brief History of Time.)**

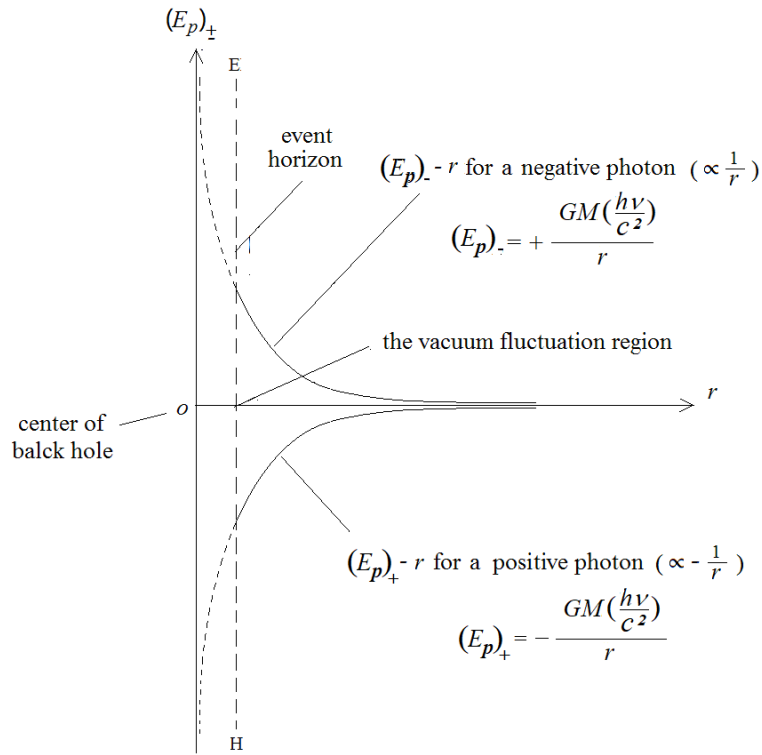


**Figure 5: The Relations of The Gravitational Energies of The Positive or Negative Photon  $E_{p+}$  and  $E_{p-}$  to the Distance  $r$  from the Photons to the Center of The Black Hole**

Figure.5 is the  $E_{p+} \sim r$  and  $E_{p-} \sim r$  curves, the relations of the potential energies of the positive and negative photons with respect to the distance from the center of the black hole to the place of the particles, respectively. It is easy to find that almost all the positive photons will finally fall

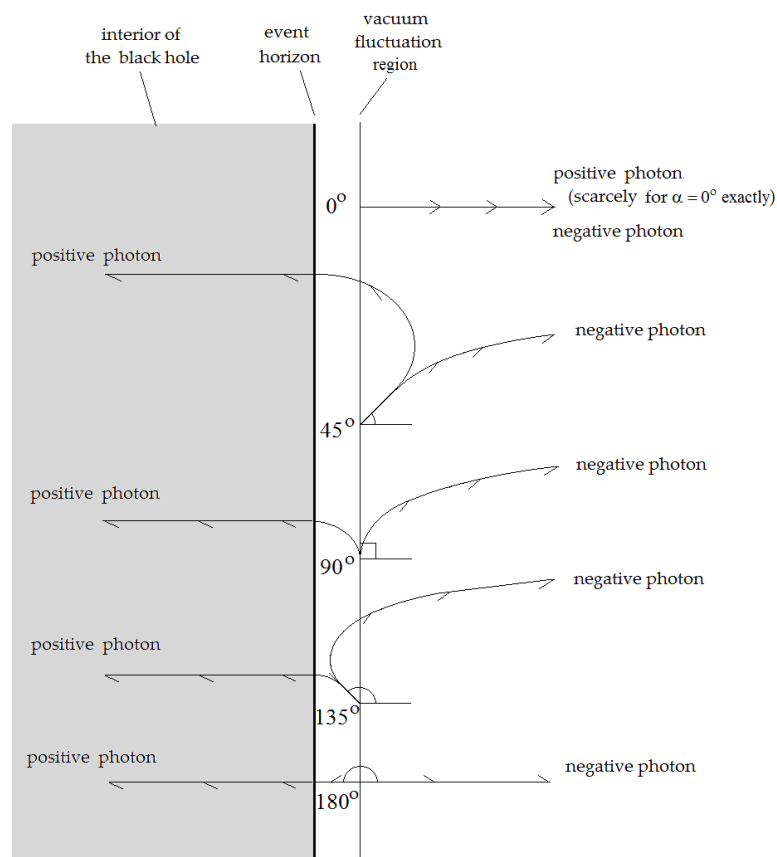


**Figure 6: The Extremely Powerful Gravitational Field Near the Event Horizon Promotes the Virtual Pairs of Positive and Negative Particles to Separate, Going Forward Along Different Ways. Almost all the Positive Photons Enter the Black Hole and Combine with the Main Mass of the Black Hole. All the Negative Photons are Repelled to the Cosmic Space, Annihilate with the Matched Photons they Encountered in their Ways**

The paths for  $\alpha = -45^\circ, -90^\circ, -135^\circ$ , etc., are omitted in the figure. Actually, the whole situation is revolutionary symmetry.

into the black hole and combine with the main mass of the black hole. And all the negative photons, due to their negative mass, will be ejected by the main mass of the black hole, finally fly off the black hole into the vast exterior space, and annihilate soon with the matched positive photons from the vast space. Let us see some examples as shown in Figure 6.

The first case,  $\alpha = 0^\circ$ , the direction of the initial velocity of the pair of photons is outward. The two photons both fly outwards. The positive one undergoes red shift, and it may fly off the black hole. The negative photon, as antimatter, flying outward, undergoes blue shift, and soon it meets some matched positive photon from the far space, and annihilates with it together. Thus, the black hole derives or lost nothing. The outer far space derived a photon from the event horizon, and lost a photon from the cosmic space, in total, the space also derives nothing.

In the cases of  $\alpha = 45^\circ, 90^\circ, 135^\circ$ , etc., as shown in the figure, all the positive photons are doomed to fall finally into the black hole, increasing the main mass of the black hole slightly. And all the negative photons are doomed to finally fly off the black hole to the vast cosmic space, and annihilate soon with the matched positive photons they meet. In any of these cases, the black hole receives a positive photon, increasing slightly the main mass of the black hole, the space first receives a negative photon, and due to the annihilation, loses a free positive photon from the outer space. The process of the two parts corresponding to a single process of a positive photon comes from the outer space and falls into the black hole.

Now let us see the case of  $\alpha = 180^\circ$ , as shown in the lowest part of Fig.6. First, the pair of the positive and negative photons both fly into the black hole. The positive photon flies straight forward to the center of the black hole and combines with the main mass of the black hole, increasing the main mass of the black hole slightly. The negative photon is acted by the main mass of the black hole with a repelling force, it first flies inward, undergoes red shift seriously until all its kinetic energy is exhausted (changes to its potential energy), and then it will be repelled back by the main mass of the black hole to fly outward to the vast cosmic space, undergoing blue shift. Soon it meets in its way a matched positive photon from the outer space, and they annihilate each other. Thus, finally, the space loses a free positive photon, and the black hole obtains a positive photon. It is equivalent to a single process of a space positive photon fall into the black hole.

In a word, what Hawking said of "black hole radiation", or "black hole evaporation", is not possible to happen.

The black holes accept particles and radiations, never emit them. This is absolutely true. Otherwise, why we call them Black Holes?

However, actually, the quantum fluctuation of vacuum at the event horizon of a black hole is extremely weak, and its influence is totally negligible. It is not worth to consider it too much.

### **Hawking Once Had A Well-Known Saying: From the Big Bang to Black Holes.**

According to these words, it seems as if the matter and energy absorbed by the black holes would be buried in the black holes forever. Never will they be liberated again?

### **We May Follow Hawking's Words and Say: From the Black Holes to A New Big Bang.**

The rebirth of these buried matter and energy waits a new big bang to come. About this issue, we have another monograph to discuss in details: 10.20944/preprints202406.1065.v6

### **"Gravitational Engine" and Bekenstein's "Thermodynamical Derivation of Hawking's Black hole Temperature, $T_{bh} = \kappa / 2\pi k$ "**

#### **Geroch's "Gravitational Engine"**

In 1971, R. Geroch put forward an imaginary "gravitational engine" that works at the exterior of the event horizon of a black hole. The "engine" is a cycling device, which is composed of a wheel, a rope and a cubic or spherical box, as shown in Fig.7. Like all the common engines, the device should work between a high temperature reservoir and a low temperature one. The high temperature reservoir here is at temperature  $T$  and far away from the black hole. The low temperature reservoir here is the black hole itself. Geroch calculated the cycle and got its efficiency  $\eta = 1$ , and he thought that the cycle violated the second law of thermodynamics [7].

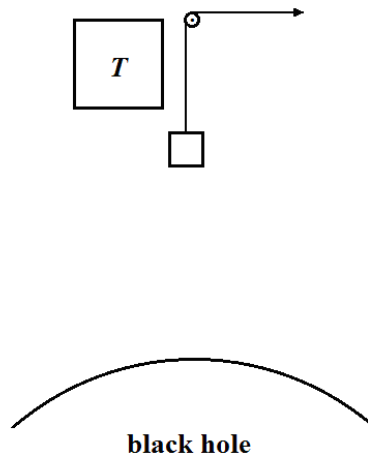


**Figure 7: Geroch and Bekenstein's Gravitational Engine**

Just as pointed out afterwards by Bekenstein, Geroch's calculation is too simple and rough, and his attempt of violating the second law was not realized.

Nevertheless, the authors of this paper regard that Geroch's most serious mistake did not lay in his calculations. His most serious mistake

**Was:** in each cycle, all the heat the device extracts from the high temperature reservoir is released to the low temperature reservoir, the black hole.

As is well known, for whatever an engine, the work  $A$  it does to an external agent in each cycle equals the difference between the heat it extracts from the high temperature reservoir  $Q_1$  and the heat it releases to the low temperature reservoir  $Q_2$ ,

$$A = Q_1 - Q_2 \quad (14)$$

Here, obviously,  $Q_1$  must be bigger than  $Q_2$ , and it is just this difference  $Q_1 - Q_2$  that is converted to work. Whether in physics, or in thermal engineering, when discussing an heat engine, equation (14) is the fundamental content, coincides with both the first law and the second law of thermodynamics.

In Geroch's cycle,  $Q_1$  equals  $Q_2$ , hence their difference equals zero. That means, no any heat is converted to work. So, its efficiency is not  $\eta = 1$ , but  $\eta = 0$ !

Its output work in each cycle, is the work done by the difference of the gravitational potential energy of the thermal radiation in the box at the two different heights. The scenario is identical to a water turbine in a hydroelectric power station. Geroch's cycling device is only a mechanical apparatus, not a heat engine.

**How Bekenstein "Confirmed" Hawking's Idea by a Thermodynamical way that "the Gravitational Acceleration  $k$  at the Event Horizon is the Temperature of the Black Hole  $T_{bh} = \kappa / 2\pi k$ ".**

In 1973, Bekenstein made some profound and accurate revision for Geroch's calculations about the above-mentioned gravitational engine. The efficiency of the device Bekenstein derived was  $\eta < 1$ , showing that the cycle does not violate the second law of thermodynamics.

Then, Bekenstein found with great excitement that such a cycle can be used to "confirm" Hawking's "the gravitational acceleration at the event horizon of the black hole,  $k$  is actually the temperature of the black hole, i.e.,  $T_{bh} = \kappa / 2\pi k$ " [8].

The authors assert that Bekenstein's calculation seems really better and more accurate than Geroch's. Nevertheless, in each cycle of the gravitational engine in Bekenstein's description and calculation, all the heat the engine extracted from the high temperature reservoir still totally released to the low temperature reservoir. Bekenstein inherited completely this extremely serious mistake of Geroch.

**Let Us Have a Look at Bekenstein's Description and Calculation About his Cycle. It is Composed of Four Steps.**

- First, the box is at the high position, close to the high temperature reservoir. Open the box's door and extract an amount of thermal radiation  $\delta\mu$  from the reservoir. Then, close the door.
- Let the box descend slowly to the low position near the event horizon of the black hole. The work performed by the rope of the device to an external agent in this step is

$$A_1 = \mu(1 - \kappa d) \quad (15)$$

where  $\mu$  is the total mass of the box and the thermal radiation within it,  $k$  is the gravitational acceleration at the event horizon, and  $d$  is the minimum distance from the center of the stationary box at the higher position to the event horizon.

(The derivation of (14) is rather long, we omit it here. Readers who are interested in it, can find it in Bekenstein's original paper) [9].

- Open the door and let the thermal radiation in the box,  $\delta\mu$ , be released and enter into the black hole. Then, close the door.
- Let the box ascend slowly to the initial high place close to the high temperature reservoir. The work performed by the external agent to the box in this step is

$$A_2 = (\mu - \delta\mu)(1 - \kappa d) \quad (16)$$

The net work performed by the engine to the external agent in the whole cycle is

$$A = A_1 - A_2 = \delta\mu(1 - \kappa d) \quad (17)$$

Following Geroch, Bekenstein believed that the ratio of this net work  $A$  to the heat extracted from the high temperature reservoir,  $\delta\mu$ , is the efficiency of the engine,

$$\eta = \frac{A}{\delta\mu} = 1 - \kappa d. \quad (18)$$

Thus, Bekenstein proved that the efficiency of the engine is lower than 1, negating Geroch's original endeavor of violating the second law of thermodynamics.

Very regretfully, because of the serious mistake of  $Q_1 = Q_2$ , the so called "gravitational engine" is actually not a heat engine, but only a mechanical apparatus, doing work by the gravitational potential energy of the heat in the box  $\delta\mu$  in each cycle. There is no any heat that converts to mechanical work in the whole cycle. Bekenstein's discussion and calculation are also completely nonsense.

**Now, Let Us Have A Look at Bekenstein's "Thermodynamic Derivation" of  $T_{bh} = \kappa / 2\pi k$ .**

After Hawking had "proved" that "a black hole emits thermal radiation" and "the gravitational acceleration  $k$  at the event horizon represents the black hole's temperature", Bekenstein developed further the discussion about Geroch's "gravitational engine" and accomplished a "thermodynamic derivation" of the relation  $T_{bh} = \kappa / 2\pi k$ .

He started from equation (17),  $\eta = \frac{A}{\delta\mu} = 1 - \kappa d$ , endeavoring to find the relation between the engine efficiency  $\eta$  and the temperatures of the two reservoirs.

He supposed that the box is a cubic one with a side length  $a$ . According to Heisenberg's uncertainty principle,  $a$  can not be infinitely small. The maximum wavelength of the thermal radiation within the box,  $\lambda_{\max}$ , should not be longer than the dimension of the box, it may be considered approximately to be equal to the dimension of the box,

$$\lambda_{\max} \approx a, \quad \text{or} \quad \nu_{\min} \approx c/a, \quad (19)$$

where  $\nu_{\min}$  is the frequency corresponding to  $\lambda_{\max}$ .

Meanwhile, Benkentein remind us that, according to Wien's displacement law, the most probable frequency of the spectrum of the thermal radiation within the box is

$$\nu_m^\omega = 2.822kT/h. \quad (20)$$

$\lambda_{\max}$  is bigger than  $\lambda_m^\omega$ , so,

$$\nu_{\min} < \nu_m^\omega, \quad (21)$$

and hence

$$c/a < 2.822kT/h. \quad (22)$$

$$a/c > \frac{h}{2.822kT} = \frac{2\pi\hbar}{2.822kT} \quad (23)$$

Rewrite the above equation as the following one,

$$\frac{a}{2} > \frac{2\pi\hbar c}{2 \times 2.822kT} = \frac{\beta}{T} \quad (24)$$

where  $\beta = 2\pi\hbar c / 2 \times 2.822k$ . Briefly,  $2\pi/2 \times 2.822 \approx 1$ , so we may have  $\beta \approx \hbar c / k$ .

And the following relation can be obtained

$$d > a/2 > \beta/T. \quad (25)$$

Replacing (25) into (18), results in

$$\eta < 1 - \beta\kappa/T. \quad (26)$$

On the other hand, as Bekenstein's "gravitational engine" operates between a high temperature reservoir (at  $T$ ) and a low temperature reservoir, i.e., the black hole (at a temperature  $T_{bh}$ ), according to Carnot's theorem, its efficiency should satisfy the following inequality

$$\eta < 1 - T_{bh}/T. \quad (27)$$

Bekenstein pointed out that the two inequalities (26) and (27) are excellently similar to each other. By comparing them, he came to the conclusion that a black hole really has a temperature

$$T_{bh} = \beta\kappa = \hbar c\kappa/k = \hbar c\kappa/2\pi k. \quad (28)$$

(By the way, there is a mathematical flaw in Bekenstein's this part of discussion: if  $A < B$  and  $A < C$ , one cannot derive  $B = C$ ).

Adopting the units of  $\hbar = c = 1$ , equation (27) could be reduced to

$$T_{bh} = \kappa/2\pi k, \quad (29)$$

which is identical to Hawking's famous result equation (7).

Many scholars compared the two derivations of the relation  $T_{bh} = \kappa/2\pi k$  and found that although they are totally different in original physical ideas as well as in mathematical derivations, the results are "perfectly consistent" to each other. How astonishing and exciting they were! They proclaimed that there is no longer any doubt left about the relation between a black hole's gravitational acceleration and its "temperature" as expressed by equation (7) and (28) [9-14].

Apparently, beyond doubt, this "thermodynamic derivation" is totally nonsense. Bekenstein's cycling device is not a heat engine, but only a mechanical apparatus (like a water turbine in a hydraulic power station) doing work by expending the gravitational potential energy of the thermal radiation  $\delta\mu$  in each cycle. How absurd it is to apply Carnot's principle to a mechanical apparatus!

Let us make a funny discussion here: The thermal radiation spent in each cycle of Bekenstein's gravitational engine is  $\delta\mu$ , apparently, it can be replaced by some sand (or water) of the same mass  $\delta\mu$  from a reservoir of sand (or water) that located nearby the high temperature reservoir in the cycling device of Fig.3. The device can also do work continuously, and for each cycle, we also have

$$A = A_1 - A_2 = \delta\mu(1 - \kappa d).$$

This efficiency may also be used to derive the relation

$$T_{bh} = \frac{\kappa}{2\pi k}$$

just as Bekenstein did with the cycle using thermal radiation  $\delta\mu$ .  
Can we still accept that  $\kappa/2\pi k$  is the temperature of the black hole?

### Conclusion

As discussed above, Bekenstein and Hawking's three fundamental "thermodynamic concepts" of black holes, i.e., the "area entropy", the "thermal radiation" and the "gravitational acceleration temperature", are all completely false. There is no any of such thermal properties of a black hole. The so called "black hole thermodynamics" is only a fairy tale in the academic history of thermodynamics.

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## Study of thermodynamical geometries of conformal gravity black hole

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**Abstract** This work deals with the applications of thermodynamical geometries on conformal gravity black holes (CGBH) consisting of conformal parameters  $a$  and  $k$ . The stability of black hole (BH) addressed with the aid of small, middle, large and divergency roots, respectively. For this purpose, graphical behavior of heat capacity and temperature versus horizon radius is presented which help us to show the stability conditions. Further, studied the different geometries like Weinhold, Ruppeiner, Geometrothermodynamics (GTD) and Hendi-Panahiyah-Eslam-Momennia (HPEM), and found relationship between divergency of scalar curvature and zeros of heat capacity. As a result, it is noticed that Ruppeiner, HPEM and GTD metric exhibit more important information as compared to Weinhold.

### 1 Introduction

In the last century, Bekenstein and Hawking give the concept of gravitational temperature and heat capacity of BH by utilizing the concept of entropy [1,2]. Fu [3] present another model of the universe, that is, the universe is gravitationally closed and topped off with harmonious radiation at 3K temperature. *Its primary part is the tremendous sea and remaining is the genuine matter which hushes up a little fraction.* The interaction within the BH draws in radiation from space is known as the energy concentrating process which is quite useful because it reduces entropy and it is also against the second law of thermodynamics. These ideas are the opposite of Hawking and Bekenstein.

According to the Hawking theory, entropy of a BH could not be decreased. But it's not true but why? As we know that entropy increases in a system just because of irreversible processes that occur in the system where the external envi-

ronment does not involve. Now, we know the area of BH increased by accreting the energy from external environment. If the accretion process could not start then it is not possible to increase the area of the event horizon of BH. Hence, both processes increased something and different from each other, as the entropy increased due to internal process and area of event horizon increases due to external energy. By the above discussion, we concluded that there is no similarity between an increasing area of BH and an increase in entropy of BH. One can say that this statement of Hawking and Bekenstein that the area of the BH is entropy false and their rough results are not acceptable. Bekenstein and Hawking also discussed that the radiation of BH is directly related to temperature by taking the reciprocal of radiated heat then it forms the temperature of BH which is also entropy. This argument is also not correct. The author proves that Hawking radiation in one direction is totally different from isotropic radiation of BH at a specific temperature [4]. One can argue that there are many ambiguities in Hawking-Bekenstein entropy which are partially covered in logarithmic corrected entropy, higher-order corrected entropy, etc. Many authors [5–10] discussed in detail the Hawking-Bekenstein area relationship and found various useful results. One can not completely deny the idea of the Hawking-Bekenstein area entropy relationship. Moreover, the thermodynamical quantities like temperature along with entropy is related to its geometrical structures like horizon area and surface gravity. According to statistical mechanics, BHs are considered to be unstable due to Hawking radiations emitted from it. Many different exciting facts were discovered on macroscopic thermodynamical characteristics of BHs and very well-known is the thermal stability of BHs. One of the most important thermodynamical property is considered to be thermal stability which suggests the small deviation of thermodynamical system occurs due to the variation in thermodynamical parameters. Several critical behaviors are proposed for analyzing the phase structure

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